

The Regional Sector Reference Model

Business Function:

Compliance Monitoring



Council
Collaboration
Into Action

This document is part of the Regional Sector Reference Model. It should be read in conjunction with document [1. Context and Framework](#).

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Document Control

The following documents make up the Regional Sector Reference Model. Current document versions are listed below.

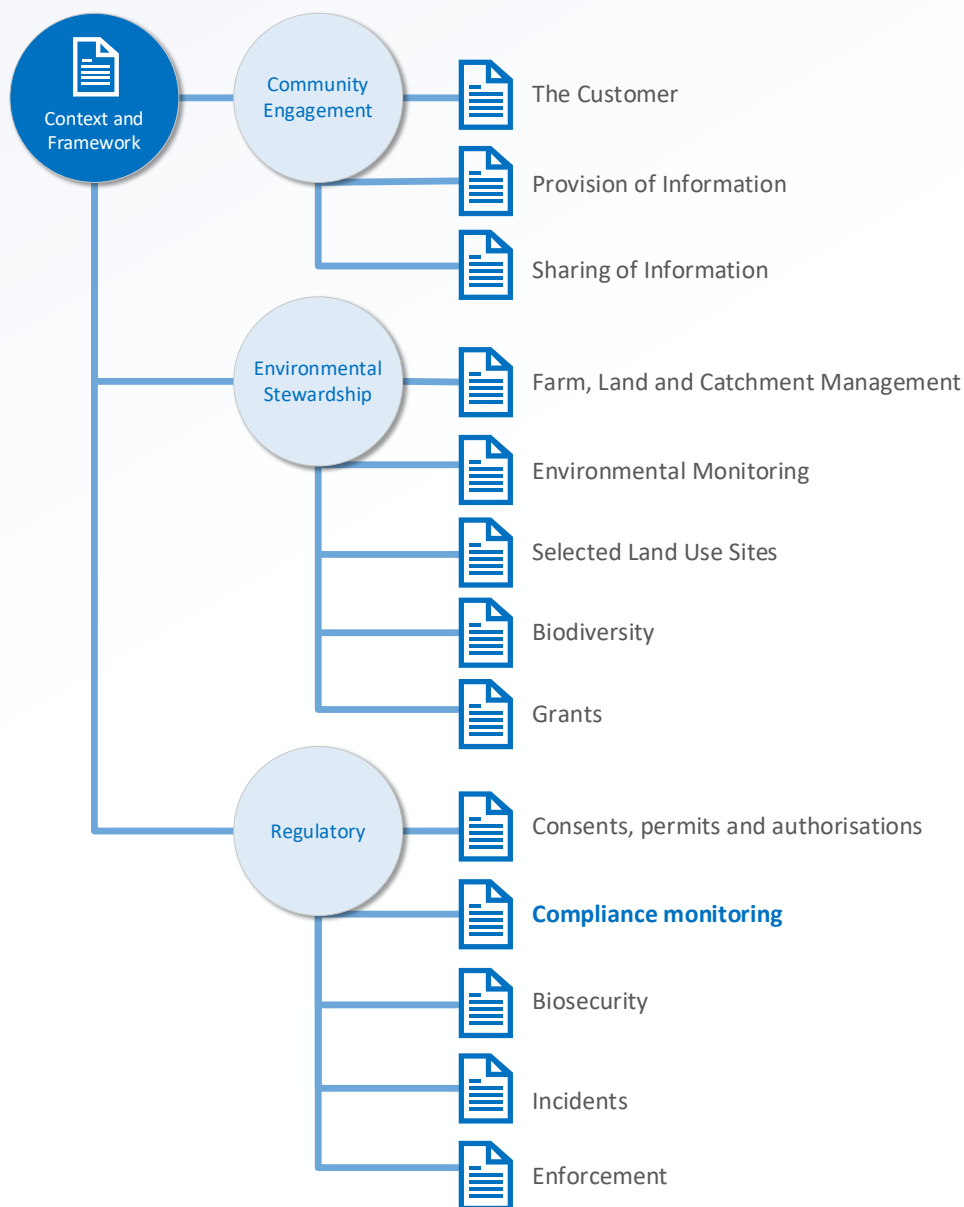
| Document Name | Document ID | Version |
|--|---------------------|---------|
| 1. Context and Framework | RSHL-1540269799-521 | v1.0 |
| 2. Community Engagement – The Customer | RSHL-1540269799-518 | v1.0 |
| 3. Community Engagement – Provision of Information | RSHL-1540269799-517 | v1.0 |
| 4. Environmental Stewardship – Farm, Land and Catchment Management | RSHL-1540269799-527 | v1.0 |
| 5. Environmental Stewardship – Environmental Monitoring | RSHL-1540269799-649 | v1.0 |
| 6. Environmental Stewardship – Selected Land Use Sites | RSHL-1540269799-654 | v1.0 |
| 7. Environmental Stewardship – Biodiversity | RSHL-1540269799-652 | v1.0 |
| 8. Environmental Stewardship – Grants | RSHL-1540269799-656 | v1.0 |
| 9. Regulatory – Consents, Permits and Authorisations | RSHL-1540269799-669 | v1.0 |
| 10. Regulatory – Compliance Monitoring | RSHL-1540269799-668 | v1.0 |
| 11. Regulatory – Biosecurity | RSHL-1540269799-664 | v1.0 |
| 12. Regulatory – Incidents | RSHL-1540269799-658 | v1.0 |
| 13. Regulatory – Enforcement | RSHL-1540269799-660 | v1.0 |

The reference model

The Regional Sector Reference Model aims to provide regional council consistent good practice processes, enabling councils to benchmark themselves and measure the degree to which good practice is being achieved.

This document makes up part of the Regional Sector Reference Model. The process and activity diagrams seen within this document were developed through a series of workshops under a collaborative approach by 11 regional councils. User story IDs are shown in grey – please contact RSHL if you require further detail.

The Regional Sector Reference Model structure



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[> Execute \(Compliance assessment automation\)](#)

[> Execute \(Link assessment record\)](#)

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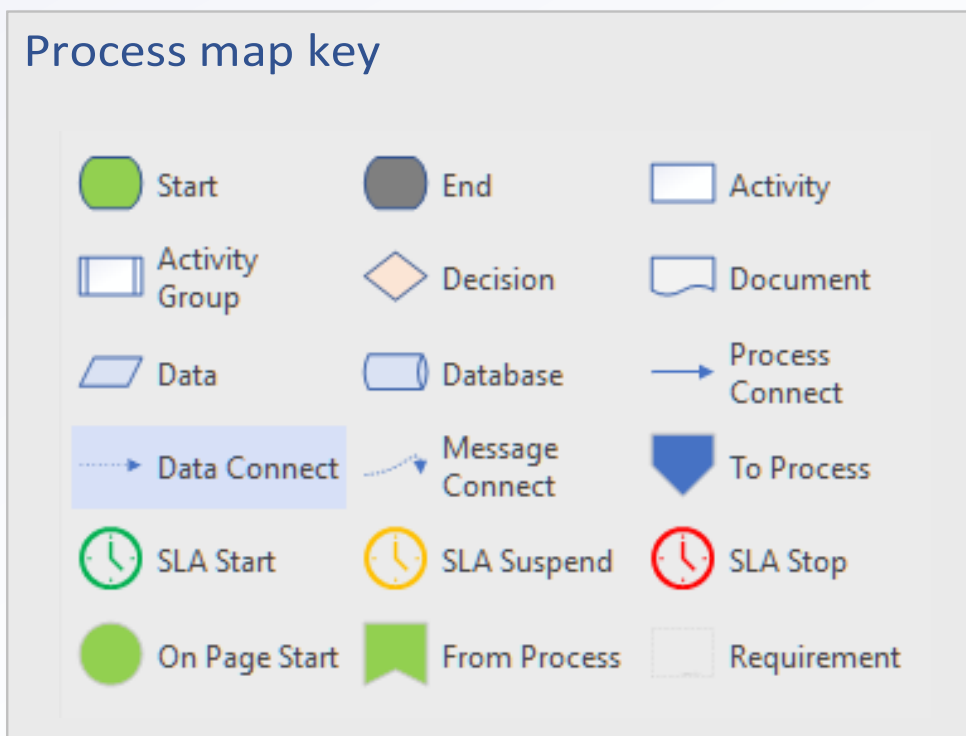
[> Execute \(Spatial representation\)](#)

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Process map key

Please use the key below to understand the process maps and their meaning.



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Regulatory

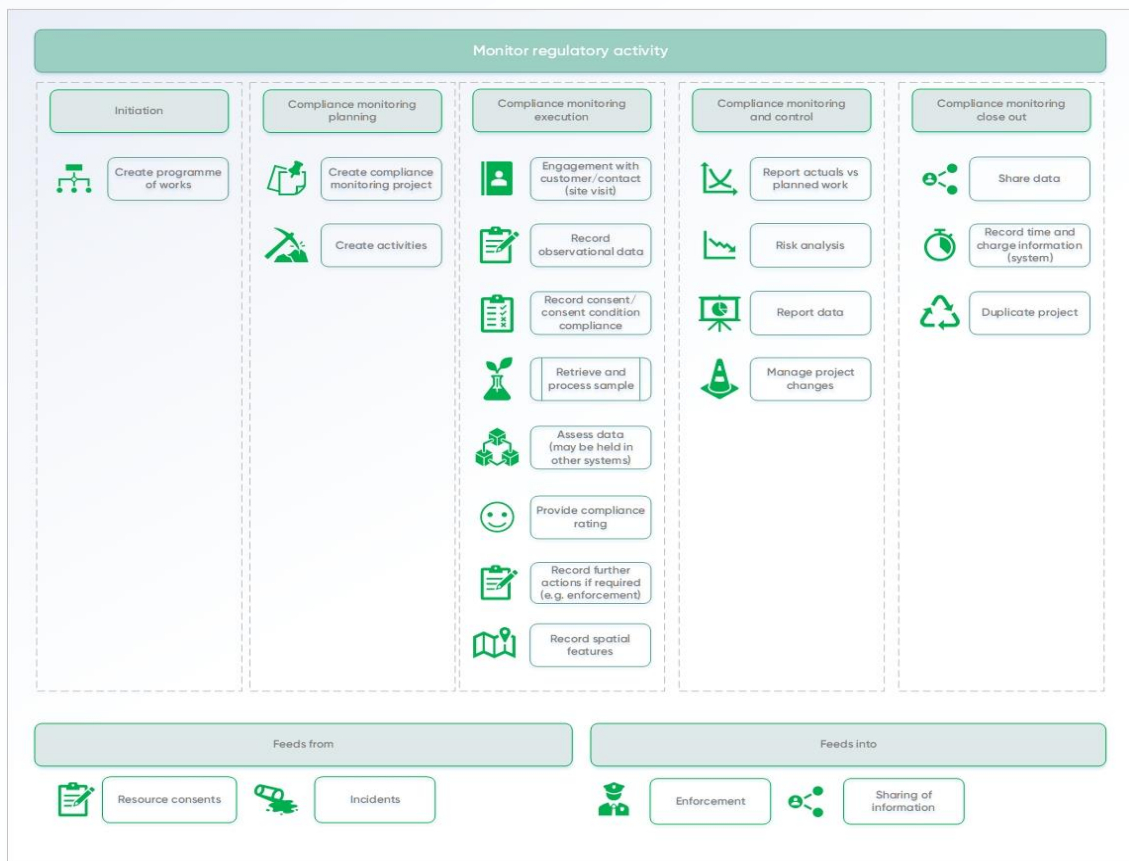
The regulatory responsibility area refers to councils’ legislative functions, with specific reference to the Resource Management Act and the Biosecurity Act. Permitting and consenting regulatory activity encompasses receiving and processing applications, while monitoring regulatory activity covers the initiation, planning and execution of compliance activities and work programmes. Where legislative non-compliance is found, councils invoke incident and enforcements processes.

Council’s biosecurity activities are undertaken in accordance with the Biosecurity Act together with council’s regional plans to control and/or eradicate pest plants and animals from their respective regions.

Compliance Monitoring

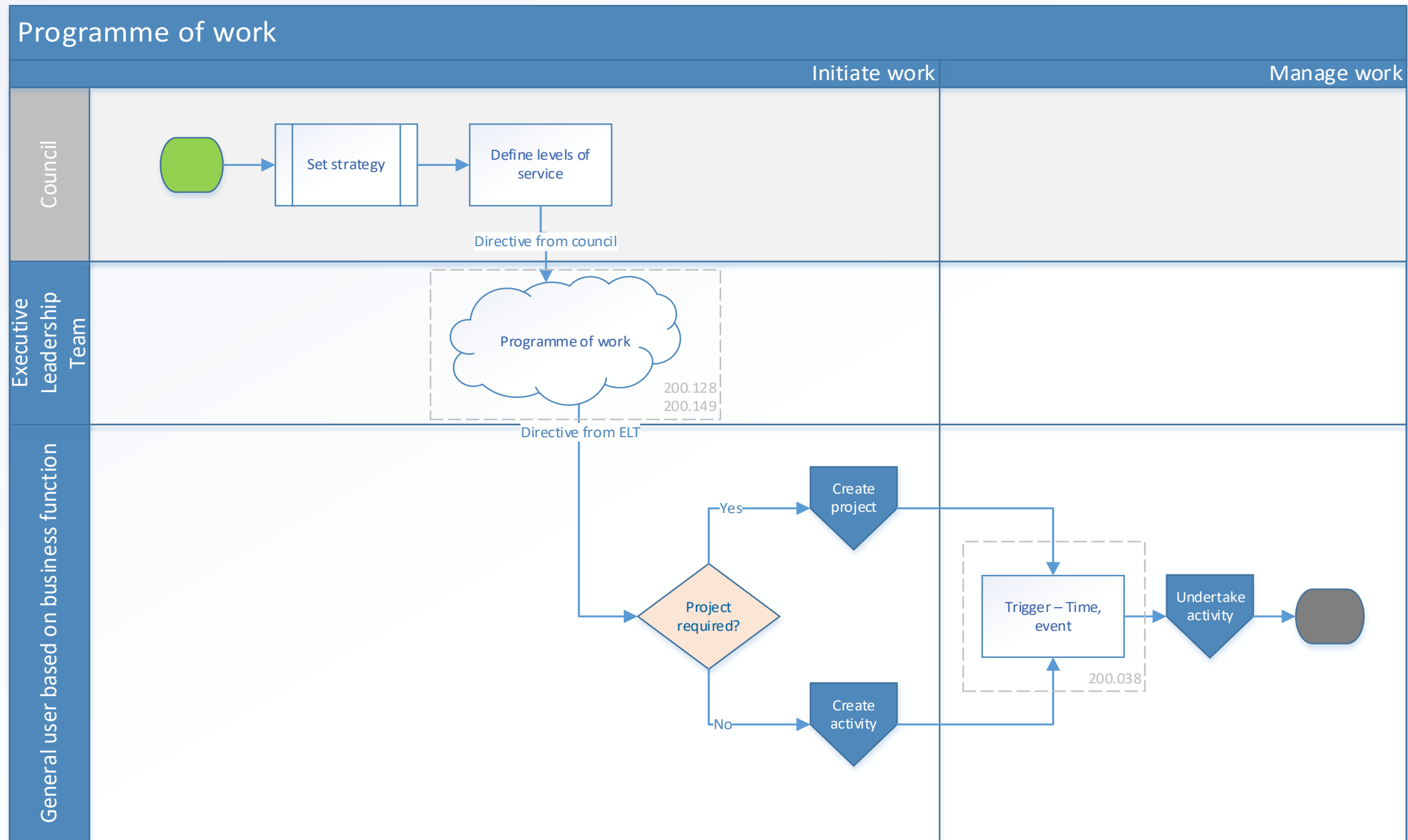
The environmental performance of consent holders is closely monitored by councils, with results reported to the community. Consents are monitored by assessing compliance with consent conditions and parameters, including water sampling, biological surveys, soil sampling, stream flow measurements, odour surveys and ecological surveys. Monitoring programmes range from the assessment of a single consent, to large scale industrial sites with multiple consents, or catchment programmes with multiple consents and consent holders.

Councils require a scalable software solution that enables the efficient and effective management, planning, execution, and reporting of monitoring projects, activities and inspections (assessments) that are carried out on a user-pays basis.



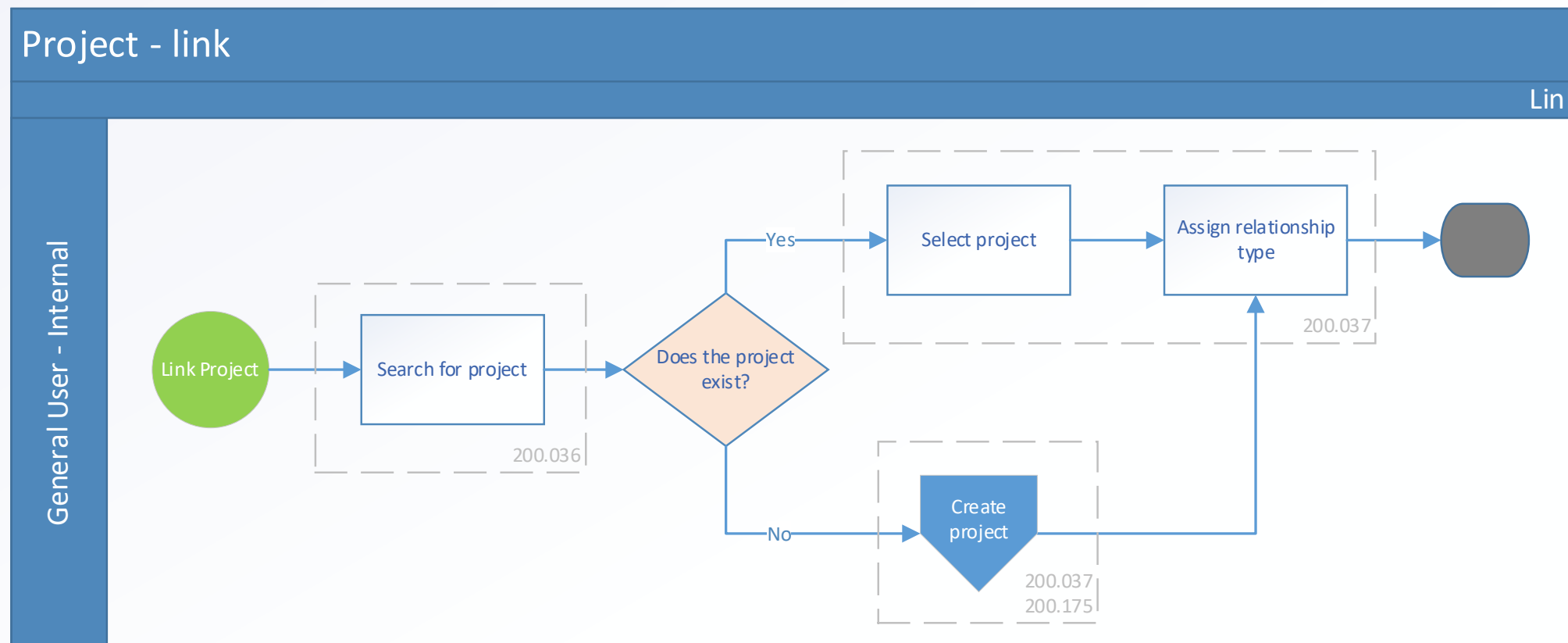
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Initiate (programme of work)

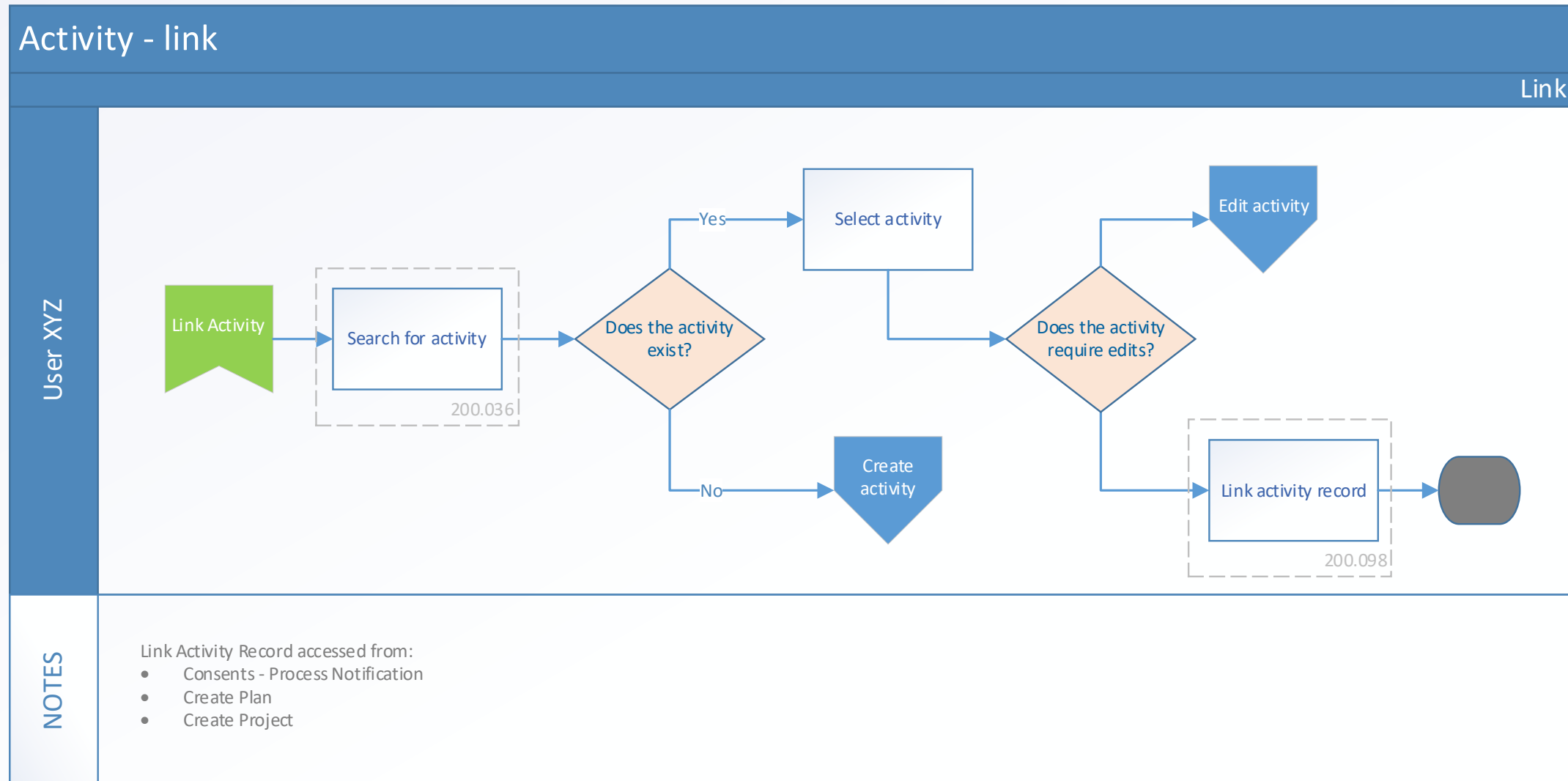


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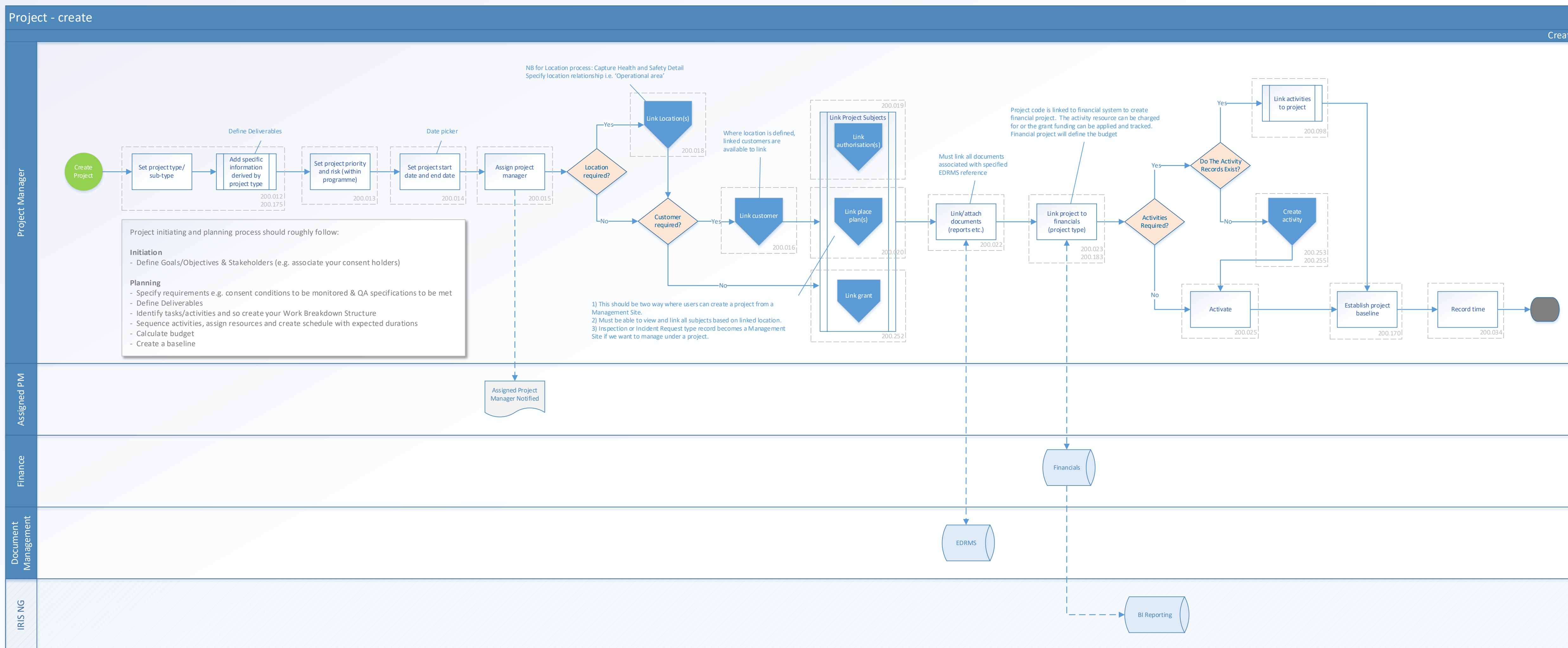
Planning (link project)



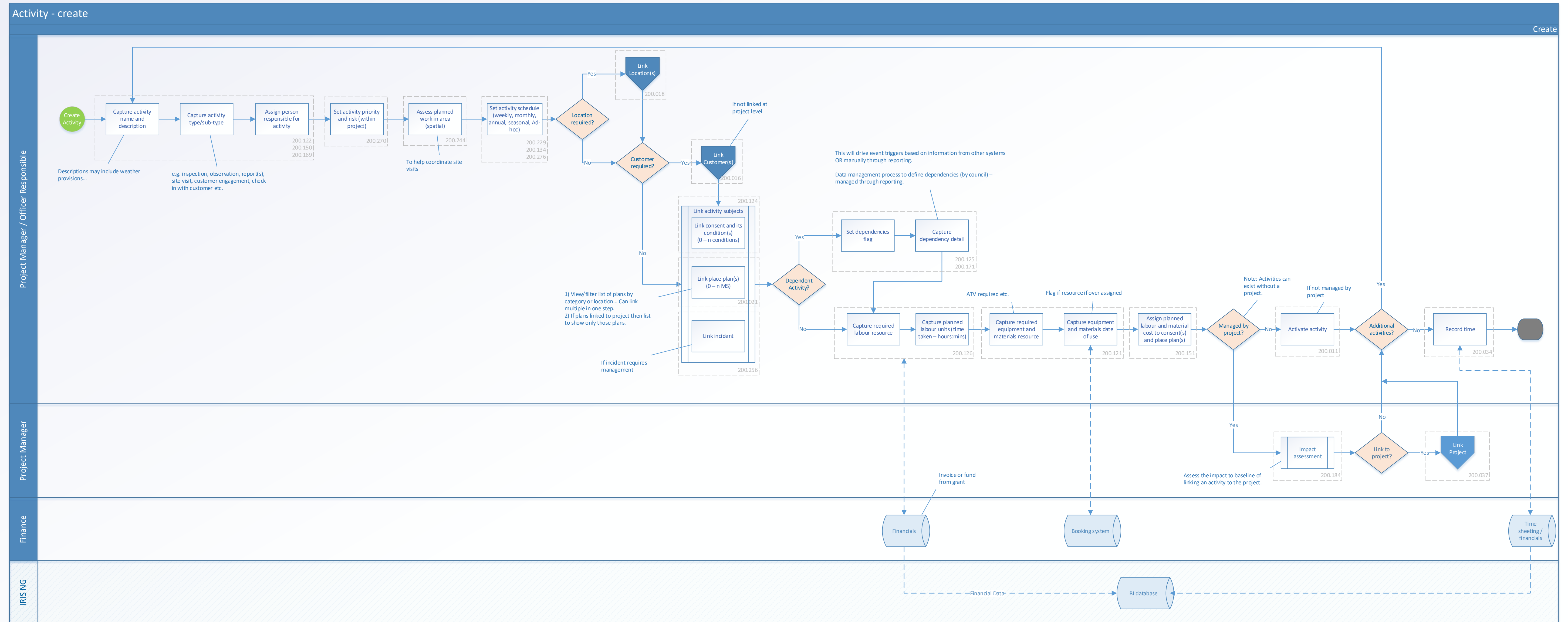
Planning (link activity)



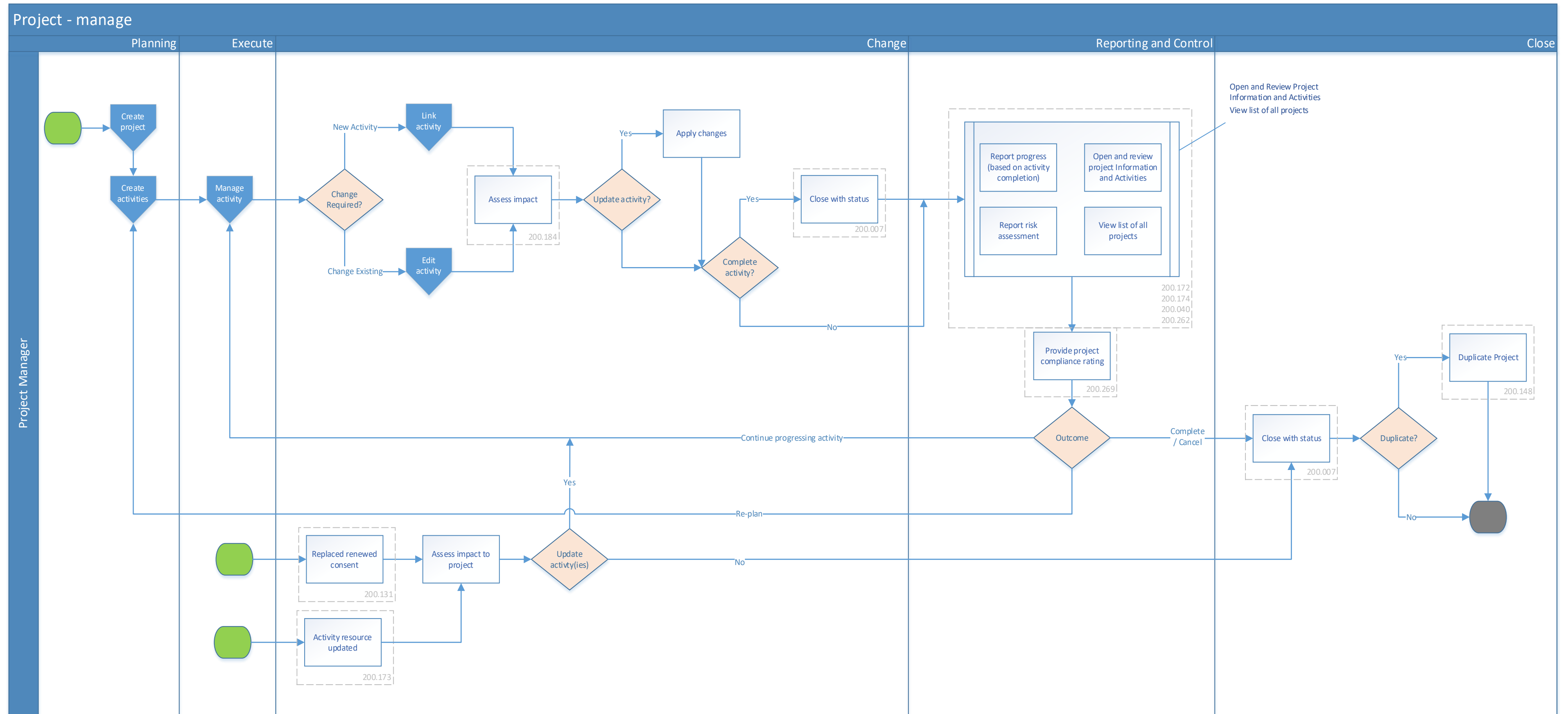
Planning (Create project)



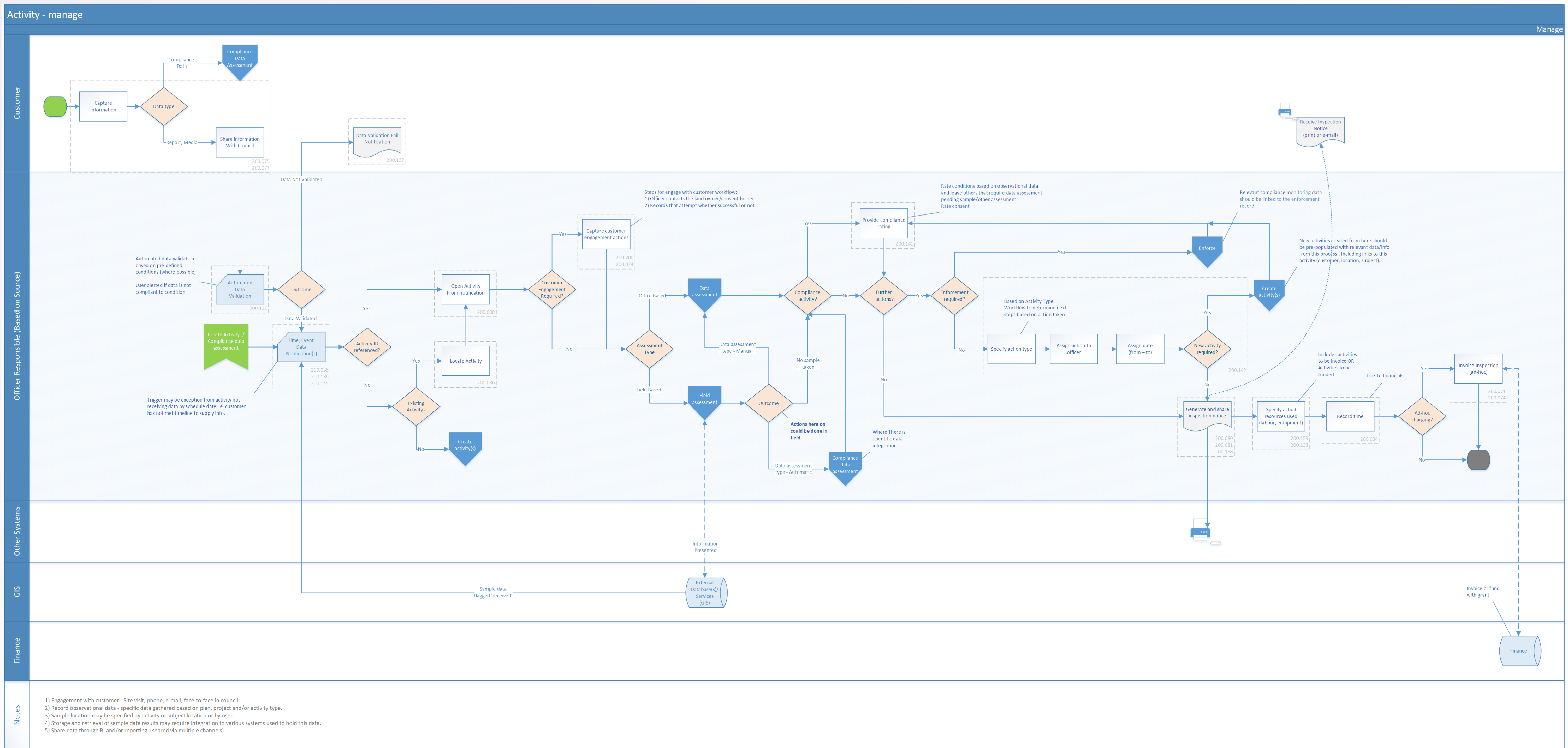
Planning (Create activity)



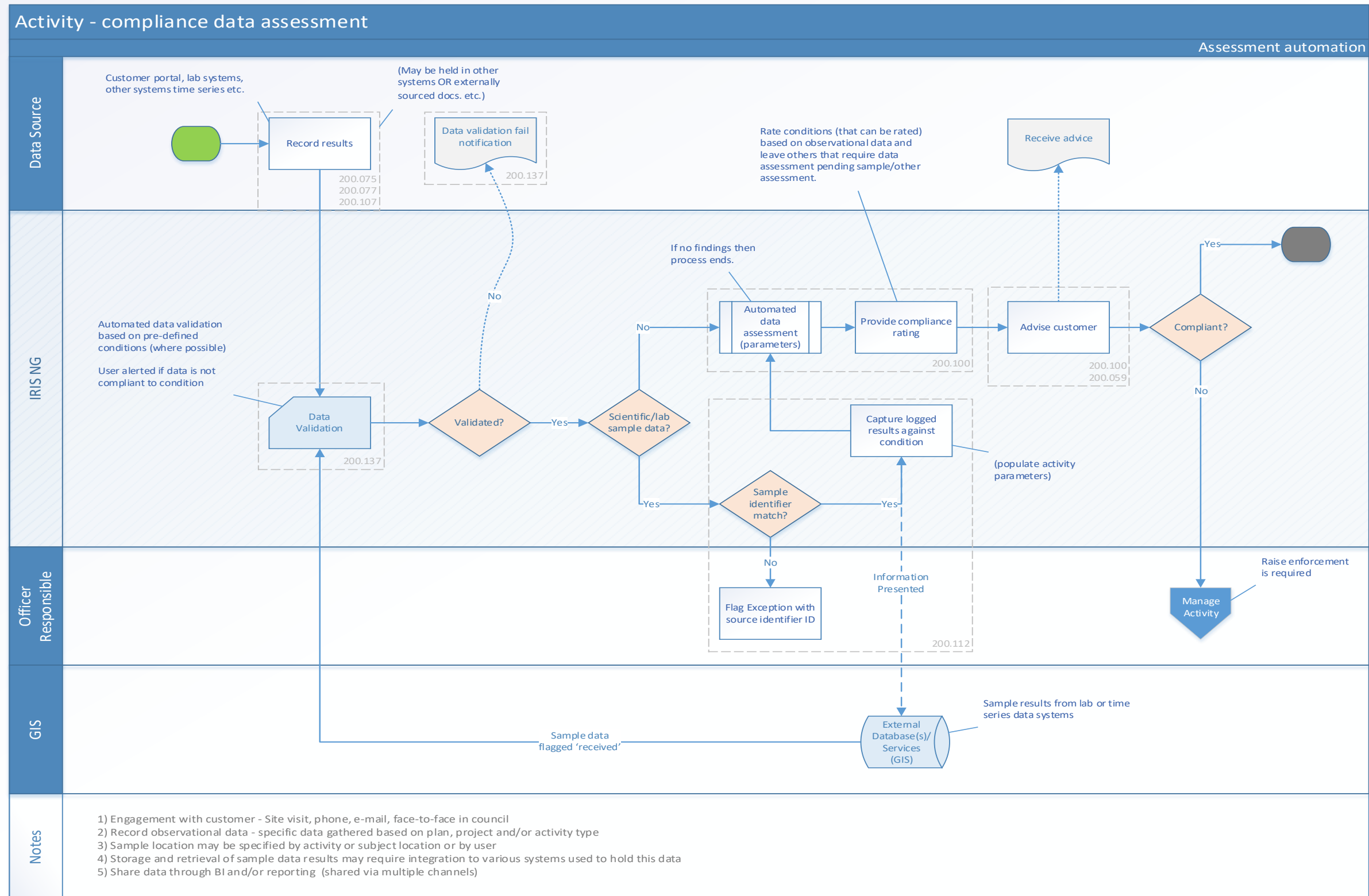
Execute (Manage project)



Execute (Manage activity)

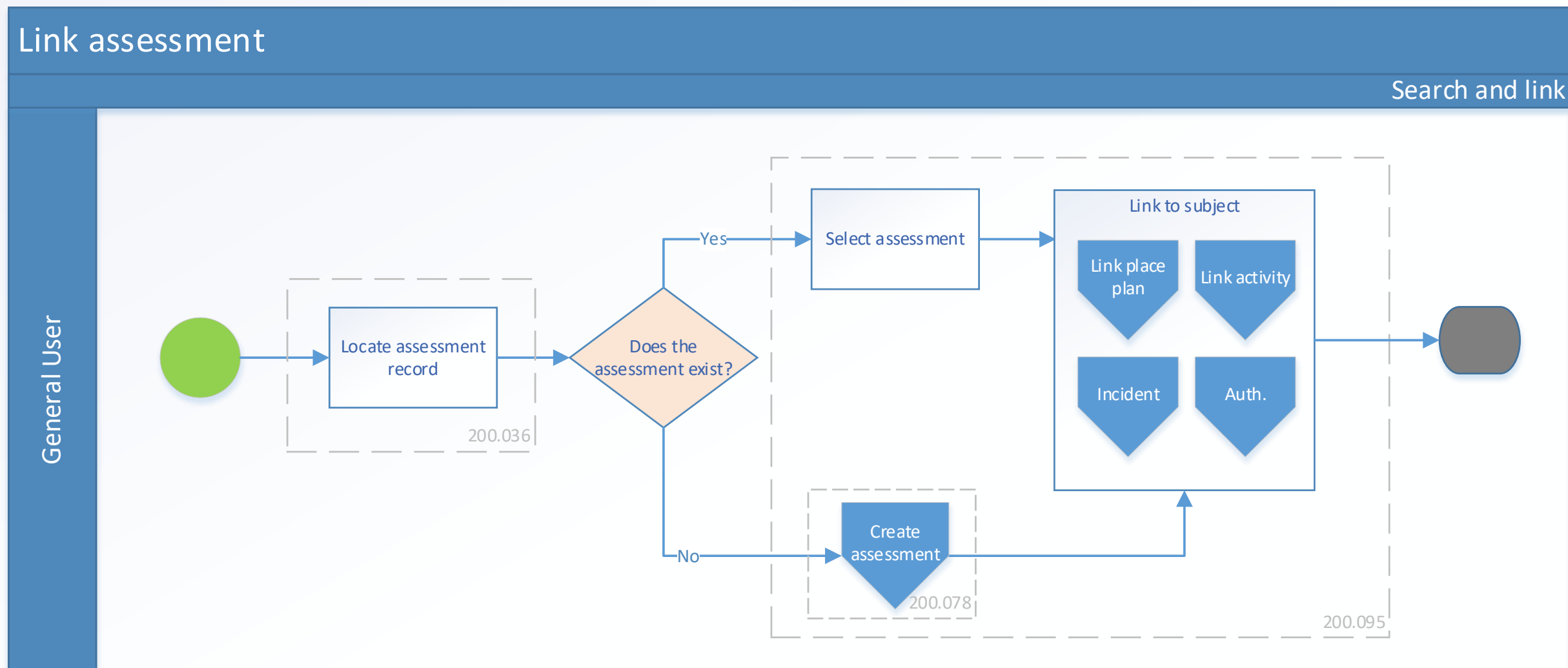


Execute (Compliance assessment automation)



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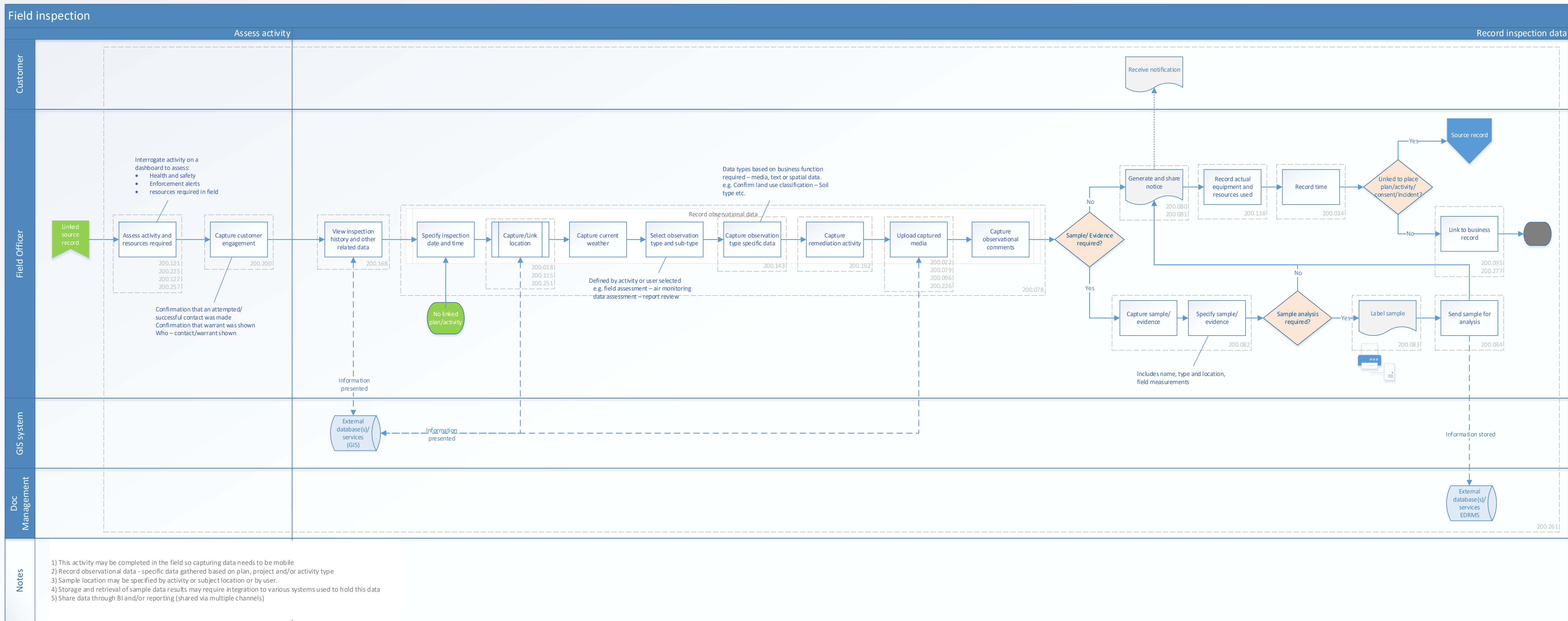
Execute (Link assessment record)



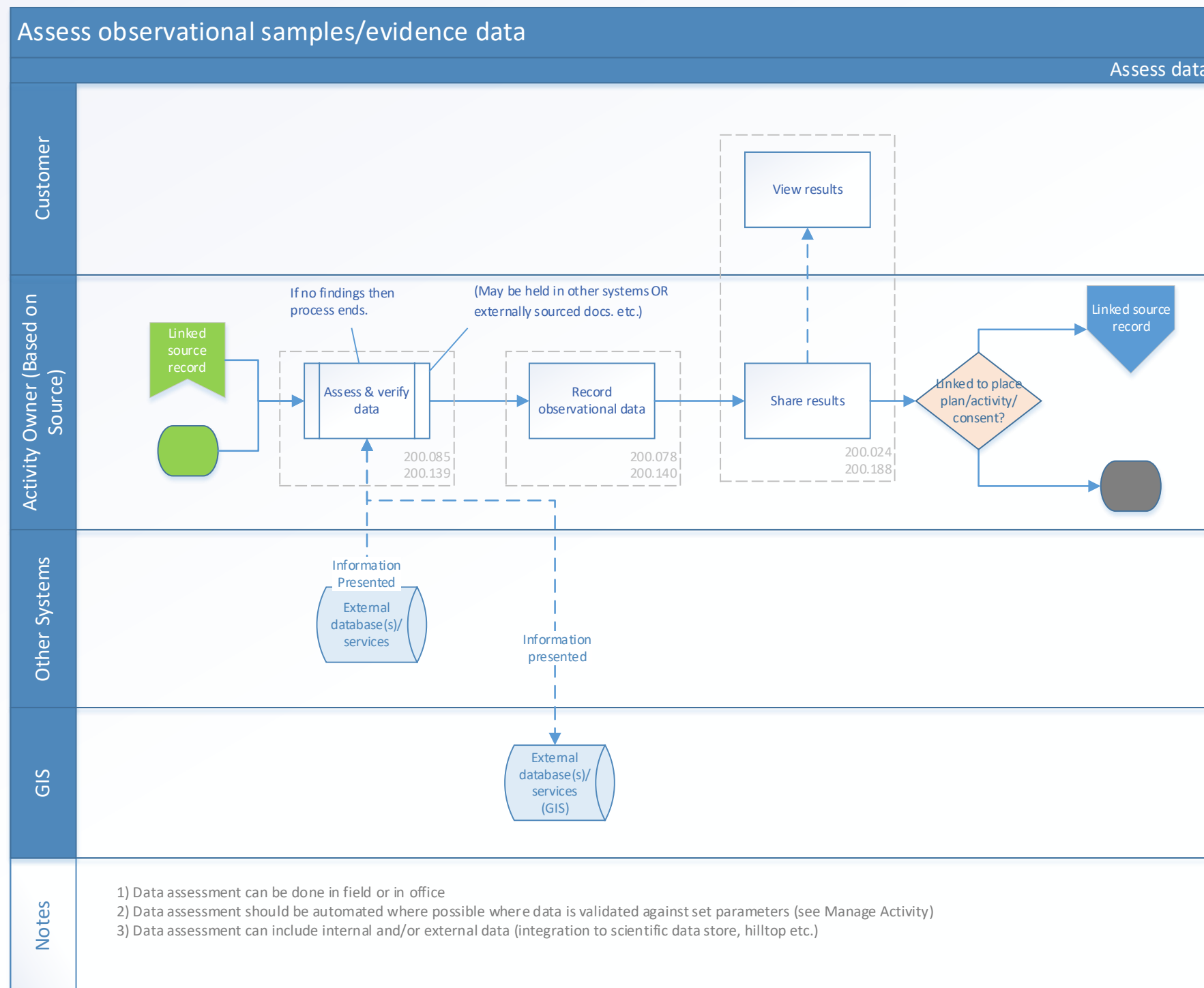
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Execute (Link assessment record)

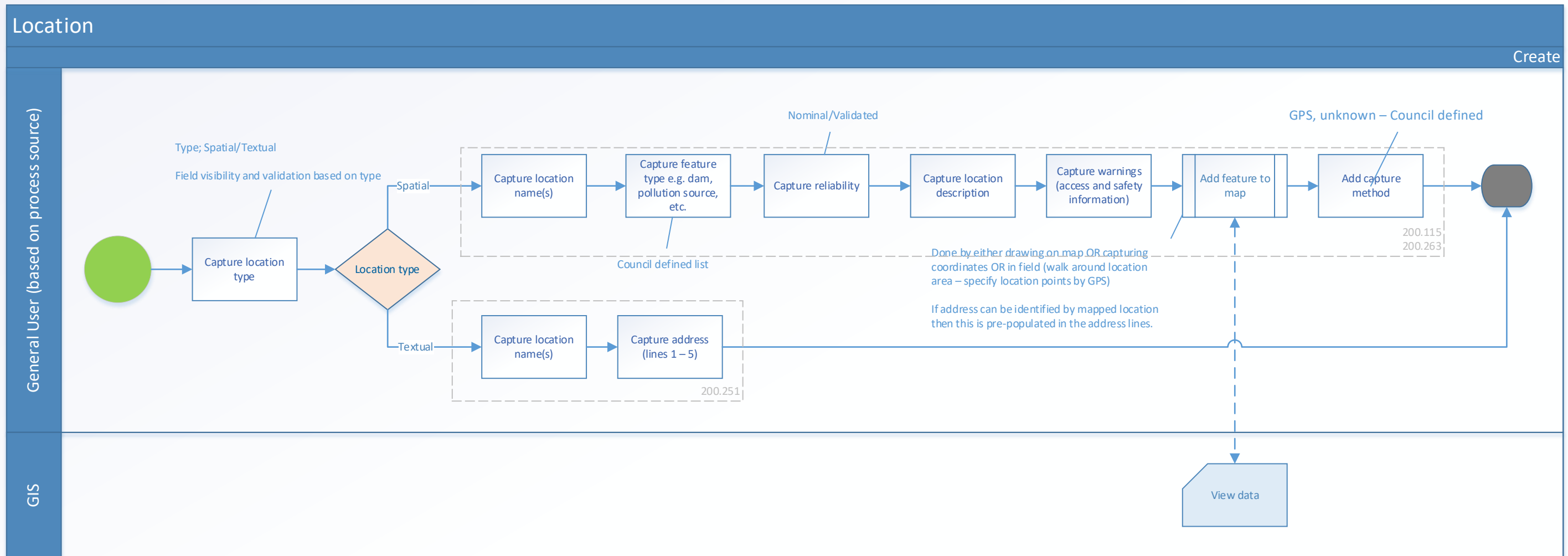


Execute (Data assessment)

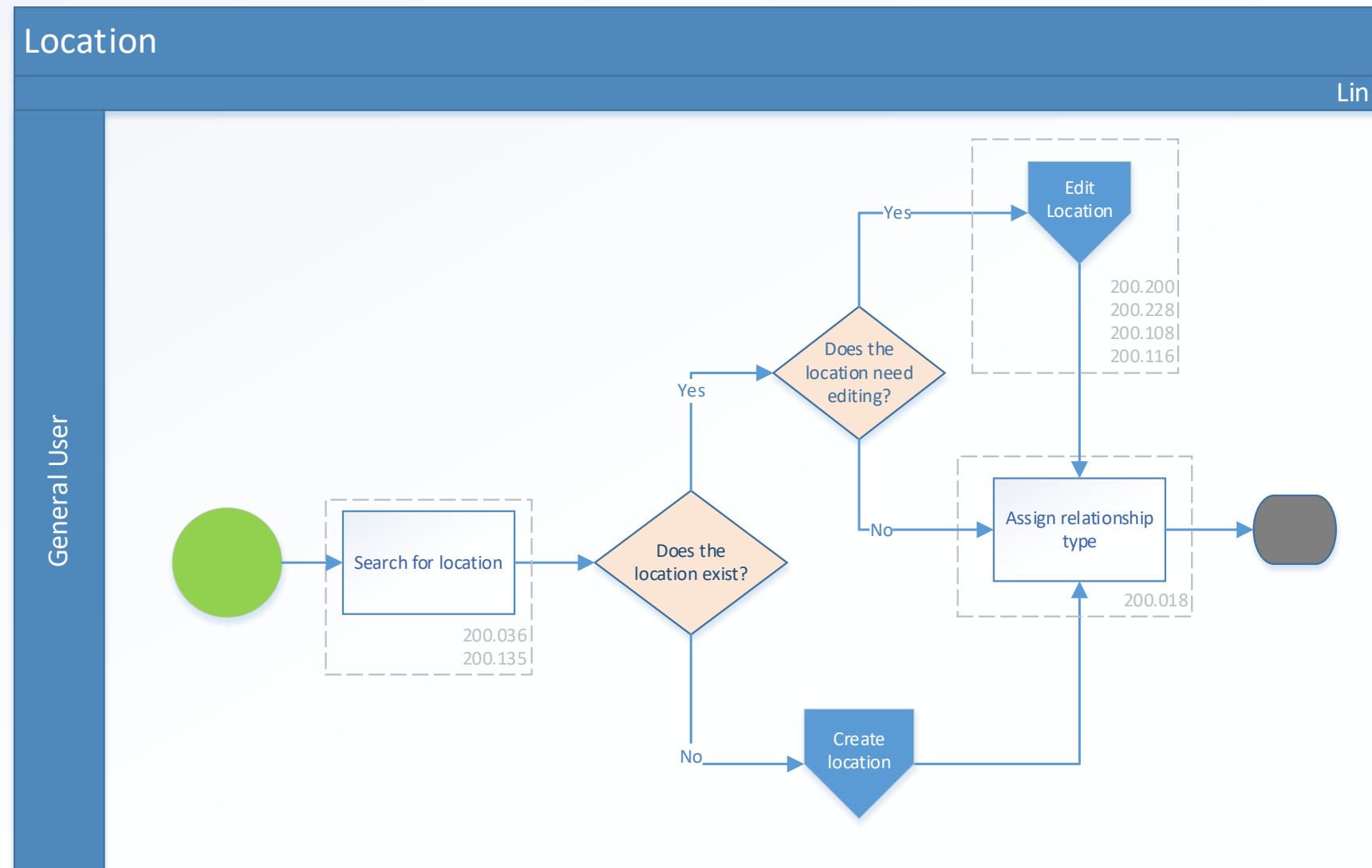


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Location (create)



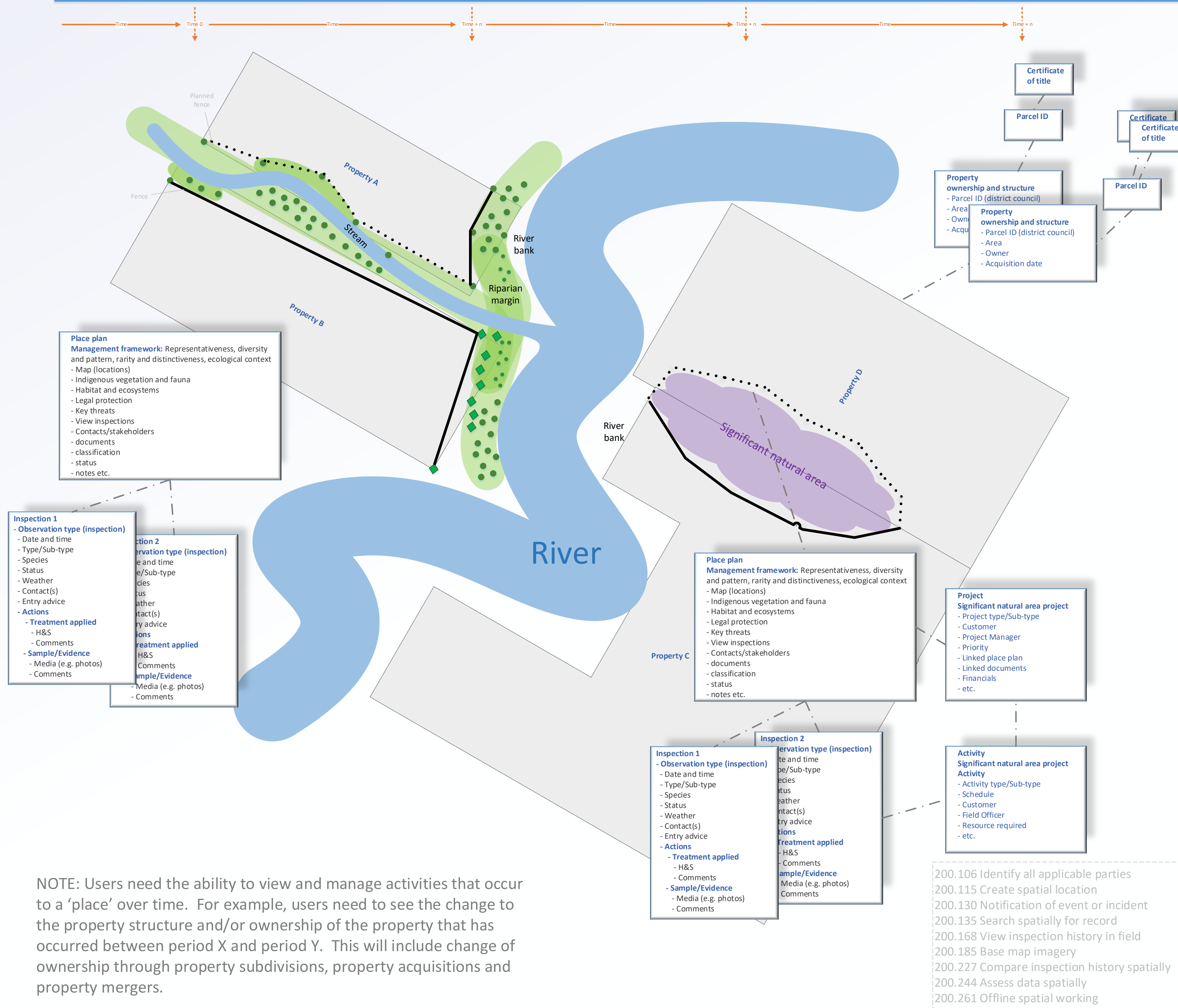
Location (link)



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Execute (Data assessment)

Manage (place plan and project)





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